

08/25/00

A1

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
GES-0005Total Pages in this Submission  
33**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**METHOD AND SYSTEM FOR A COMPREHENSIVE LITIGATION EARLY WARNING SYSTEM**

and invented by:

**WENDY HUFFORD**If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 17 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
**GES-0005**

Total Pages in this Submission  
**33**

**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☐ Formal                      Number of Sheets \_\_\_\_\_
- b. ☒ Informal                      Number of Sheets 3
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)*                      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449                      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class    ☒ Express Mail *(Specify Label No.):* EL399800342US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
GES-0005

Total Pages in this Submission  
33

**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

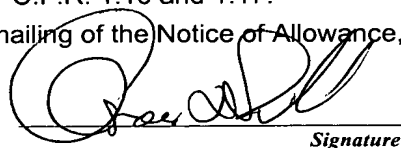
16. ☐ Additional Enclosures (please identify below):

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	16	- 20 =	0	x \$18.00	\$690.00
Indep. Claims	3	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose) Assignment Fee					\$40.00
TOTAL FILING FEE					\$730.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$730.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

Dated: August 21, 2000

Gerow D. Brill, Reg. No. 34,554



**23413**

CC: PATENT TRADEMARK OFFICE

# Cantor Colburn LLP

Intellectual Property Attorneys

55 Griffin Road South  
Bloomfield, Connecticut 06002  
phone: 860-286-2929  
fax: 860-286-0115

101 Merritt 7  
Norwalk, Connecticut 06856  
phone: 203-849-8266  
fax: 203-849-8292

e-mail: [iplaw@cantorcolburn.com](mailto:iplaw@cantorcolburn.com)  
web: [www.cantorcolburn.com](http://www.cantorcolburn.com)



Michael A. Cantor  
Philmore H. Colburn II  
Keith J. Murphy  
Leah M. Reimer, Ph.D.

David A. Fox  
William J. Cass  
Edward J. Ellis  
Michael J. Rye  
Pamela J. Curbelo\*  
Andrew C. Ryan  
Gerow D. Brill  
Robert J. Feltovic  
Juan C. Villar\*  
Ralph J. Crispino\*  
Wayne R. Grohs  
Christopher C. Boehm  
Ross J. Christie  
Timothy J. Olson  
Priya M. Mannan  
H. M. Bedingfield  
Steven B. Leavitt  
Steven M. Coyle  
Linda A. P. Cunha\*

Andrew S. Brenc  
Charles F. O'Brien  
George A. Pelletier, Jr.\*  
Amir H. Behnia\*  
Troy J. LaMontagne  
Deborah B. Crenshaw\*  
Leonard E. Heyman

of counsel:

David S. Fishman  
Richard A. Menelly

patent agent:

George J. Lyman  
J. Michael Buchanan, Ph.D.  
Karen A. Jalbert  
Frank F. Tian

\*Not admitted in Connecticut

August 24, 2000

Commissioner for Patents & Trademarks  
Box Patent Applications  
Washington, D.C. 20231

Re: U.S. Patent Application  
Inventor: Wendy Hufford  
Entitled: METHOD AND SYSTEM FOR A  
COMPREHENSIVE LITIGATION EARLY  
WARNING SYSTEM  
Attorney Docket No. GES-0005  
Filed: August 24, 2000

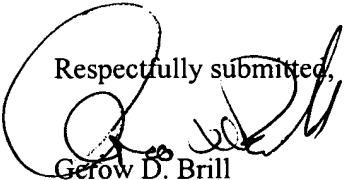
Sir:

We enclose herewith:

- ☒ Utility Patent application, including specification (9 pages), claims (9 pages), and abstract (1 page)
- ☒ Declaration and Power of Attorney
- ☒ Transmittal letter
- ☒ Drawings: 3 sheets (informal)
- ☒ Acknowledgement postcard

The Commissioner is hereby authorized to charge payment of the fees associated with this communication or credit any overpayment to Deposit Account No. 06-1130.

Respectfully submitted,

  
Gerow D. Brill  
Reg. No. 34,554

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents & Trademarks, Washington DC 20231-0001, on August 24, 2000, Express Mail No. EL399800342US.

  
Laurie Lidz

JC864 U.S. PTO  
09/645065  
08/24/00

# CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s):

Docket No.  
GES-0005

Serial No.

Filing Date

Examiner

Group Art

108640.8. PTO  
09/04/05



Invention:

METHOD AND SYSTEM FOR A COMPREHENSIVE LITIGATION EARLY WARNING SYSTEM

I hereby certify that this Utility Patent Application

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

August 24, 2000

(Date)

Laurie Lidz

(Typed or Printed Name of Person Mailing Correspondence)

Laurie Lidz

(Signature of Person Mailing Correspondence)

EL399800342US

("Express Mail" Mailing Label Number)

**Note: Each paper must have its own certificate of mailing.**

09/04/05 "08/24/00"